

# 1. Characteristics of crystal units

The thickness of crystal vibrator of the AT cut crystal unit as described in the previous page differs depending on the overtone mode.

# (1) Relationship between thickness of crystal blank and oscillation frequency

Cut angle/ mode overtone	Frequency range (MHz)	Formula of thickness of crystal blank
AT / Fundamental mode	3.5 to 33	1.67/f
AT/ 3rd O. T	33 to 100	5.01/f
AT/ 5th O. T	100 to 150	8.35/f
AT/ 7th O. T	150 to 200	11.69/ f

f: Series resonance frequency (MHz)

In case of calculating the thickness of AT-cut 16MHz t=1.67/ 16=0.104 (mm)

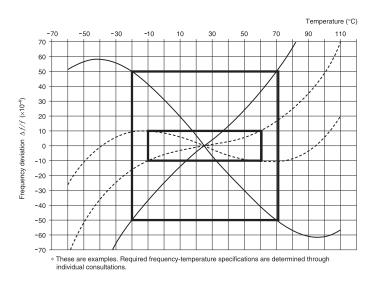
# (2) Examples of specifications for frequency-temperature characteristics

The frequency-temperature characteristics of the AT cut crystal unit are tertiary curves.

The diagram below shows examples of the tertiary curves that pass temperature range and frequency deviation specifications. The range enclosed by the smaller rectangular satisfies the following specification:

±10×10<sup>-6</sup> (-10 to 60: 25°C)

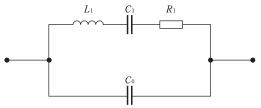
The range enclosed by the larger rectangular satisfies the following specification:  $\pm 50 \times 10^{-6}$  (-20 to 70: 25°C)



# (3) Equivalent electric circuit and equivalent constant of crystal unit

The following equivalent constants are used near the resonance frequency.

- L1: Motional inductance in the equivalent electric circuit
- C1: Motional capacitance in the equivalent electric circuit
- R1: Motional resistance in the equivalent electric circuit
- C<sub>0</sub>: Parallel capacitance in the equivalent electric circuit



Equivalent electric circuit of a quarts crystal unit

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# (4) Items calculated by equivalent constants and load capacitance

$f_{\rm s}$ : Series resonance frequency	$f_{\rm s} = \frac{1}{2\pi\sqrt{L1\cdot C1}}$
$f_{\rm p}$ : Parallel resonance frequency	$f_{\rm p} = \frac{1}{2\pi \sqrt{L_1 \frac{C_0 \cdot C_1}{C_0 + C_1}}}$
$\gamma$ : Capacitance ratio	$\gamma = \frac{C_0}{C_1}$
$f_{\rm L}$ : Load resonance frequency	$f_{\rm L} = f_{\rm s} \left( \frac{C_1}{2 \cdot (C_0 + C_{\rm L})} + 1 \right)$
$R_{\rm L}$ : Load resistance	$R_{\rm L} = R_1 \left(1 + \frac{C_0}{C_{\rm L}}\right)^2$
$C_{\rm L}$ : Load capacitance	$C_{\rm L} = \frac{C_1}{2} \cdot \frac{1}{(f_{\rm L}/f_{\rm s}) - 1} - C_0$
Q : Quality factor	$Q = \frac{2\pi \cdot f_{\mathrm{s}} \cdot L_1}{R_1} = \frac{1}{2\pi \cdot f_{\mathrm{s}} \cdot C_1 \cdot R_1}$

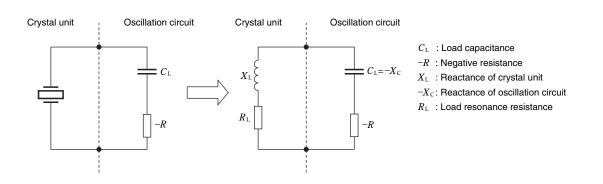
The equation  $f_L$  shows that  $f_L$  varies as load capacitance  $C_L$  connected to the crystal unit changes and that  $f_L$  becomes larger as  $C_L$  becomes smaller.

The equation  $R_L$  shows the change in impedance with a load capacitance connected. The impedance of crystal unit becomes larger as  $C_L$  becomes smaller.

# 2. Oscillation circuit and crystal unit

# (1) Equivalent circuit of oscillation circuit and oscillation conditions

A simplified equivalent circuit is shown below.



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The oscillation start-up conditions are described as

 $R_{\rm L} \leq |-R|$ 

and in order to oscillate the crystal unit accurately, it must be designed such that the negative resistance of the oscillation circuit becomes bigger comparing with the resonance resistance value at the time of loading. This ratio is called oscillation margin degree  $M_{\rm OSC}$  and it is one of critical factors when designing the oscillation circuit and is described as below.

For oscillation circuit designing conditions, it is recommended that an oscillation circuit be designed using a negative resistance of a value five to ten times or more larger than  $R_L$  calculated from the resonance resistance specification value.

 $M_{\rm OSC} = |-R| / R_{\rm L} \ge 5$ 

In a steady oscillation state, the load resonance resistance is given as follows:

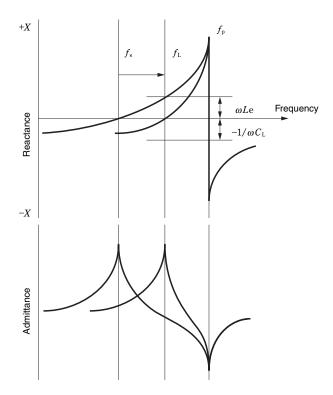
 $R_{\rm L} = |-R|$ 

The mutual conductance of the oscillation circuit decreases after the oscillation has started to continuously compensate for the power loss due to the load resonance resistance of the crystal unit, which continues oscillation.

The frequency condition is given as follows:

 $X_{\rm L} = X_{\rm C}, X_{\rm L} - X_{\rm C} = 0$ 

As shown in the following figure, the reactance of the crystal unit varies to a value matching the load capacitance of the oscillation circuit  $C_{\rm L} = X_{\rm C}$ . Thus an oscillation frequency is determined.

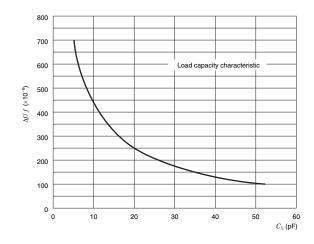


 $f_s$ : Series resonance frequency  $f_L$ : Load resonance frequency  $f_p$ : Parallel resonance frequency



## (2) Changes of load capacitance and oscillation frequency

As shown above, the series resonance frequency of the crystal unit changes with load capacitance  $C_{\rm L}$  of the oscillation circuit. In the actual oscillation circuit, however, fine adjustments of oscillation frequencies are carried out by varying  $C_{\rm L}$  by the trimmer capacitor or the like. The following figure shows an example of load capacitance characteristics. The slope of the characteristics varies depending on the frequency, shape, the number of overtone mode, etc.

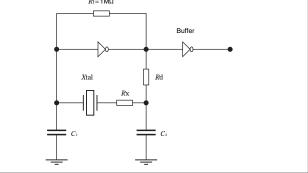


# 3. Crystal oscillation circuit (1) CMOS fundamental crystal oscillation circuit

As shown above, the series resonance frequency of the crystal The figure on the right shows a standard CMOS inverter crystal oscillation circuit for oscillating crystal unit with fundamental mode.

\*Rx is an element to reduce excitation current of the crystal unit preventing frequency fluctuation, but Rx is not used in some cases.

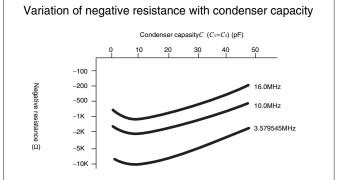
CMOS fundamental crystal oscillation circuit



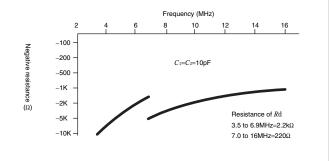
Characteristics of the circuit when load capacitances  $C_1$  and  $C_2$  are changed under the condition of  $C_1 = C_2$  are shown in the figure on the right.

It is not desirable that the excessive increase of the value of condenser leads to a decrease of the negative resistance resulting in increasing the possibility of oscillation failure.

*R*d mainly adjusts frequency characteristics of the negative resistance and is used to prevent oscillating by third Overtone mode. In case of a bigger circuit of the negative resistance, there is a case it is used to prevent the abnormal oscillation.



# Frequency characteristics of negative resistance



Selection of ICs and circuit constants by frequency bands						
су	3 to 4.9 (MHz)	5 to 6.9 (MHz)	7 to 9.9 (MHz)	10 to 19.9 (MHz)	20 to 30 (MHz)	
	TC4069UB TC4SU69F			TC74HCU04A TC7SUD4F TC7WU04FU	TC74VHCU04 TC7SHU04F TC7WHU04FU	
	1ΜΩ					
*1	1500 (Ω)	470 (Ω)	0 (Ω)	0 (Ω)	0 (Ω)	
*2	0 to 1500Ω					
*3	6 to 22 (pF)			6 to 15 (pF)	6 to 15 (pF)	
	*1 *2	x  1  1500 (Ω)    *2  -	x  1  5 to 6.9 (MHz)    *1  1500 (Ω)  470 (Ω)    *2	Image: Second state stat	icy  3 to 4.9 (MHz)  5 to 6.9 (MHz)  7 to 9.9 (MHz)  10 to 19.9 (MHz)    TC4069UB TC4SU69F  TC74HCU04A TC7SUD4F TC7WU04FU    *1  1500 (Ω)  470 (Ω)  0 (Ω)  0 (Ω)    *2  0 to 1500Ω	

\*1: Necessary for preventing overtone oscillation and must be changed depending on the frequency band or the  $C_1$  and  $C_2$  values.

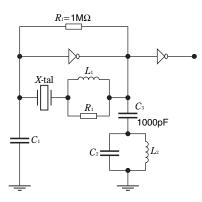
\*2: Used to reduce excitation current of the crystal unit. Necessary for stable operation of small-sized crystal units.

\*3: The optimum value differs with the values of load capacitance and Rd.

#### (2) CMOS overtone crystal oscillation circuit

This figure shows a standard CMOS inverter crystal oscillation circuit to oscillate a crystal unit using the overtone mode.

#### CMOS overtone crystal oscillation circuit



There are same cases when  $L_1$  and  $R_1$  are matched to the value of load capacitance.

## (3) Selection of ICs and circuit constants by frequency bands

Frequency range	20 to 60 (MHz)
IC	TC74VHCU04 TC7SHU04F TC7WHU04FU
$C_1$	3 to 10pF
$C_2$	10 to 22pF

#### (4) Method of selecting circuit constants and functions of elements

 $C_1$ : Forms load capacitance of the circuit together with  $C_2$ ,  $L_1$  and  $L_2$ . A value of approx. 5pF is used.

 $C_2$ : Forms load capacitance of the circuit together with  $C_1$ ,  $L_1$  and  $L_2$ . Prevents fundamental wave oscillation. Shall be selected so that  $C_2$  comes between the third overtone frequency at which resonance frequency with  $L_2$  is to make oscillation and 1/3 of the third overtone frequency. A value of 10 to 22pF is used.

 $C_3$ : A bypath capacitor

L1: A coil to adjust load capacitance of the oscillation circuit to a value near the series. A value of several µH is used.

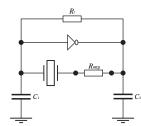
 $L_2$ : Forms load capacitance of the circuit together with  $C_1$ ,  $C_2$  and  $L_1$ . Prevents fundamental wave oscillation. Shall be selected so that  $L_2$  comes between the third overtone frequency at which resonance frequency with  $C_2$  is to make oscillation and 1/3 of the third overtone frequency. A value of 10 to 22pF is used.

R<sub>1</sub>: A Q dump resistor for L<sub>1</sub>. As an element for preventing self-excited oscillation, a value of several kΩ to several tens of kΩ is used.

\*  $L_1$  and  $R_1$  might not be used.

# (5) Method of checking oscillation circuit

- ① Some ICs have a low upper-limit value of usable frequency, so refer to individual IC catalog to make sure that the IC can oscillate a crystal unit with an adequate negative resistance.
- (2) The following figure shows an example of a CMOS oscillation circuit. Check resistance  $R_{sup}$  is connected in series with the crystal unit to check the negative resistance. Use 3 to 22pF for  $C_1$  and  $C_2$ , and see the table below for values of check resistance.



Frequency range	Values of check resistance
3.5 to 4.5MHz	1.5kΩ
4.6 to 6.0MHz	1.0kΩ
6.1 to 10.0MHz	800Ω
10.1 to 14.0MHz	500Ω
14.1 to 20.0MHz	400Ω

- ③ Using a spectrum analyzer or oscilloscope, check that every oscillation is normally activated while turning the power on and off several times. For oscillation circuits with no power regulator ICs, carefully check changes in the negative resistance against supply voltage and in frequencies.
- ④ When oscillation is normal, remove the check resistance before using the crystal circuit.
- (5) If oscillation is unstable or is not generated, gradually decrease the values of  $C_1$  and  $C_2$  until normal oscillation is obtained.
- (6) If normal oscillation cannot be generated near 10MHz or near 20MHz, replace the IC with a new one suitable for higher frequencies.

#### (6) Load capacitance and oscillation frequency of transistor/ fundamental crystal oscillation circuit

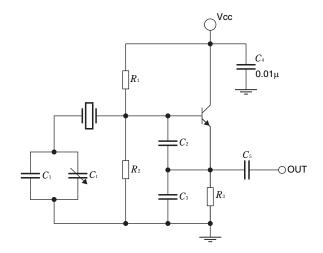
Viewed from the connection terminals of a crystal unit, the load capacitance  $C_L$  of an oscillation circuit is generally comprised of  $C_1$ ,  $C_2$ ,  $C_2$ , and  $C_3$  if stray capacitance of the circuit and the capacitance between base and emitter of the transistor are ignored. Since trimmer capacitor is adjusted to  $C_T$  = Min. to Max. for zero adjustment of the oscillation frequency, the value of  $C_L$  at this time can be obtained from the following equation.

$$C_{\rm L}\operatorname{Min.} = \left(\frac{1}{C_1 + C_{\rm T}} + \frac{1}{C_2} + \frac{1}{C_3}\right)^{-1} \text{ to } C_{\rm L}\operatorname{Max.} = \left(\frac{1}{C_1 + C_{\rm T}} + \frac{1}{C_2} + \frac{1}{C_3}\right)^{-1}$$

When these calculation results are substituted for the following equation for load resonance frequency, the oscillation frequency can be obtained.

$$f_{\rm L} = f_{\rm s} \left( \frac{C_1}{2 \cdot (C_0 + C_{\rm L})} + 1 \right)$$

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Select each circuit constant so that the adjustment ranges of upper and lower frequencies of this circuit are even on the basis of the frequency of a single crystal unit measured using a specified load capacity, and that the margin of  $\pm 8$  to  $10 \times 10^{-6}$  of the room temperature deviation of the crystal unit can be reserved.

To prevent the decrease in the negative resistance, always connect the crystal unit to the base of the transistor. For transistors used for oscillation circuits, he and  $f_{\rm T}$  are important.

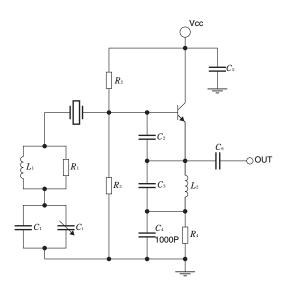
To obtain the large negative resistance with small current consumption, select a transistor for high frequency amplification with hfe of over 250 and  $f_{\rm T}$  of 1GHz or more.

## (7) Transistor third overtone oscillation circuit

- 1 The resonance circuit comprised of  $L_2$  and  $C_3$  is required on the emitter side for preventing fundamental mode crystal oscillation. Set the resonance frequency to a value higher than the intermediate between fundamental wave frequency and third overtone frequency.
- (2) Use  $L_1$ , referred to as an elongation coil, to connect the load capacitance of the oscillation circuit in series.  $R_1$  prevents self-excited oscillation by  $L_1$ . Since it is difficult in general to design the oscillation circuit having adequate negative resistance in the overtone oscillation frequency band, there are no other effective means of obtaining adequate oscillation margin except for preventing the increase of load resonance resistance  $R_L$  of the crystal unit.

 $R_{\rm L}$  in the equation of load resonance resistance can be made equal to  $R_{\rm S}$  by connecting  $C_{\rm L}$  in series, or making it infinite, which prevents increase in the load resonance resistance.

$$R_{\rm L} = R_1 \left(1 + \frac{C_0}{C_{\rm L}}\right)^2$$





To prevent decrease in the negative resistance, connect the crystal unit to the base of the transistor as in the fundamental mode crystal oscillation circuit. To use the crystal circuit for both oscillation and multiplication, connect a parallel resonance circuit having multiplication frequency as resonance frequency to the collector of the transistor.

When selecting circuit constants for zero adjustment range by trimmer capacitor, set the constants to values obtained by adding approx.  $\pm 12$  to  $15 \times 10^{-6}$  to the room temperature deviation of the crystal unit, centering the value obtained by measuring the crystal unit with load capacitances in series. (When the room temperature deviation specification of the crystal unit is  $\pm 10 \times 10^{-6}$ )

# (8) Excitation power of oscillation circuit

Normal operation of crystal units is not assured when excitation power is raised. The allowable excitation power varies depending on the shape of the crystal unit or the stability of targeted frequency. When highly accurate oscillation is required, however, it is recommended to use an oscillation circuit with an excitation power of 5 to  $50\mu$ W or less. For other cases, refer to individual relevant crystal units on the pages of the catalog.

# (9) Precautions for designing printed circuit board

Be sure to design printed circuit board patterns that connect a crystal unit with other oscillation elements so that the lengths of such patterns become shortest possible to prevent deterioration of characteristics due to stray capacitances and wiring inductance. For multilayer circuit boards, it is important not to wire the ground and other signal patterns right beneath the oscillation circuit.